

# 3

## SPIN, ROTATIONS, AND POSITION

In addition to time with its order structure, formalized by the real Lie group  $\mathbf{D}(1)$  (causal group) and its Lie algebra  $\mathbb{R}$  (time translations) with energy (frequency) characterizing its eigenvectors, a physical dynamics also represents position with the related operations: The real 3-dimensional position translations come with a scalar product, invariant under rotations. The spatial form of an object, a sphere, an ellipsoid, a cube, a tree, etc., is characterized by its possibly very complicated properties with respect to rotations (chapter “Harmonic Analysis”). There is only one Lie algebra<sup>1</sup> of real dimension three, which Cartan called  $A_1^c$ , that realizes the position operations. The exponent of this compact Lie algebra is  $\mathbf{SU}(2) = \exp A_1^c$ , the *spin group*, whose classes with respect to its discrete center  $\mathbb{I}(2) \cong \{\pm \mathbf{1}_2\}$  are the rotations  $\mathbf{SO}(3) \cong \mathbf{SU}(2)/\mathbb{I}(2)$ . The invariant property for these operations is angular momentum or spin. The abstract  $\mathbf{SU}(2)$ -operations are realized in basic physical interactions and particles, e.g., by spin, by isospin, and in Lorentz transformations. In the following the physically suggestive position- and spin-oriented language will be used.

In this chapter all finite-dimensional representations of the complex 3-dimensional Lie algebra  $A_1$  and all representations of its compact form  $A_1^c$  are considered. From the group-theoretical point of view, the “simplest simple” Lie algebra  $A_1$  is fundamental for all nonabelian, especially semisimple, Lie operations that, in some sense can be considered as “lumped together” spin structures (chapter “Simple Lie Operations”). In the representations of the nonabelian  $\mathbf{SU}(2)$ , the integer winding numbers  $Z \in \mathbb{Z}$  for the representations  $e^{i\alpha} \mapsto e^{iZ\alpha}$  of the abelian circle group  $\mathbf{U}(1) = \exp i\mathbb{R}$  (1-torus) come in reflected winding number pairs  $(Z, -Z)$  for the dual  $\mathbf{U}(1)$ -subrepresentations in  $\mathbf{SO}(2)$ . The representation characteristic maximal natural number  $|Z_{\max}| = 2J$  defines the spin  $J$  with the  $\mathbf{SU}(2)$ -representation space dimension  $1 + 2J$ , e.g., the position dimension  $s = 3$  for the adjoint representation  $J = 1$ .

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<sup>1</sup>In this chapter a Lie algebra structure of a vector space  $L$  is defined up to linear equivalence, i.e., up to vector space automorphisms  $\mathbf{GL}(L)$ .

### 3.1 Linear Operations on the Alternative

The spin structure can be motivated by discrete noncommutative operations: Such operations are defined, minimally, as acting on a 2-element set  $\{\circ, \bullet\}$ , a *basic alternative*, by an exchange  $\bullet \leftrightarrow \circ$  (“shut  $\leftrightarrow$  open” or “up  $\leftrightarrow$  down”) in addition to the identity  $(\bullet, \circ) \leftrightarrow (\bullet, \circ)$  (“nothing changes”).

To algebraize this structure: A *numerical valuations* of the operations on the two-element set  $\{\circ, \bullet\}$  is the free vector space  $K^{\{\circ, \bullet\}}$  with the mappings of the basic alternative  $\{\circ, \bullet\} \longrightarrow K$  into a number field, i.e., a 2-dimensional linear space  $V \cong K^2$ . The simplest field  $\mathbb{Z}_2 = \{0, 1\}$  allows a valuation with the truth values “false” and “true” (“bit”), the embedding into real and then complex numbers  $\mathbb{R}$  and  $\mathbb{C}$  allows extended valuations (modalities) with probabilities and even “probability amplitudes” (chapter “Quantum Probability”)

$$\begin{array}{lclclcl} \text{field } K: & \mathbb{Z}_2 & \subset & \mathbb{R} & \subset & \mathbb{C}, \\ \text{valuation:} & \{0, 1\} & \subset & [0, 1] & \subset & \mathbf{U}(1) \times [0, 1]. \end{array}$$

With the alternative formalized by two basic vectors of a free vector space  $K^2$ ,

$$\begin{array}{ll} V\text{-basis: } \circ = e^1 \simeq \begin{pmatrix} 1 \\ 0 \end{pmatrix}, & \bullet = e^2 \simeq \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \\ V^T\text{-basis: } \check{e}_1 \simeq (1, 0), & \check{e}_2 \simeq (0, 1) \end{array}, \quad \text{dual } \langle \check{e}_A, e^B \rangle = \delta_A^B.$$

it can be acted on by the transitions  $\{\sigma_+, \sigma_-\}$

$$\begin{array}{ll} \sigma_+ = e^1 \otimes \check{e}_2 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, & \sigma_+(e^1) = 0, \quad \sigma_+(e^2) = e^1, \\ \sigma_- = e^2 \otimes \check{e}_1 = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, & \sigma_-(e^1) = e^2, \quad \sigma_-(e^2) = 0. \end{array}$$

The sum  $\sigma^1 = \sigma_+ + \sigma_- = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  represents the exchange operation  $\bullet \leftrightarrow \circ$ .

From now on the field  $K$  is chosen to be complex or real. The operations  $\sigma_{\pm}$  together with their diagonal commutator  $\sigma_0$  constitute a basis for the 3-dimensional operation Lie algebra of the alternative

$$\begin{array}{l} [l_-, l_+] = h, \quad [h, l_{\pm}] = \pm 2l_{\pm}, \\ l_{\pm} \simeq \pm \sigma_{\pm}, \quad h \simeq \sigma_0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}. \end{array}$$

The complex linear combinations of the three elements  $\{l_{\pm}, h\}$  as *spherical Weyl (Cartan) basis* with the Lie brackets above define the Lie algebra  $A_1 \cong \mathbb{C}^3$ . There exist *Cartesian (Euclidean) bases*  $\{l^a\}_{a=1}^3$  with totally antisymmetric structure constants  $\epsilon_c^{ab} = -\epsilon^{abc}$ ,  $\epsilon^{123} = 1$ ,

$$\begin{array}{l} l^1 = \frac{l_- - l_+}{2i}, \quad l^2 = \frac{l_- + l_+}{2}, \quad l^3 = i\frac{h}{2}, \\ il_+ = l^1 + il^2, \quad -il_- = l^1 - il^2, \quad ih = 2l^3, \\ [l^a, l^b] = \epsilon_c^{ab} l^c = -\epsilon^{abc} l^c. \end{array}$$

The real span of a Cartesian basis defines the lowest-dimensional compact Lie algebra  $A_1^c \cong \mathbb{R}^3$  (supindex  $c$  for compact) as compact form of  $A_1$ , isomorphic